File Number:	8	4	<u>-</u>		38
For the reports December 31.	uŝ.	peri	od Z	¢I Ø	oded O [



SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB AP	PROVAL
OMB Number.	3235-0337
Expires:	July 31, 2003
Estimated avera	ge burden
	pcnse 6.00
Estimated average	ge burden
hours per interm	ediate
response	1.50
Estimated average	ge burden
hours per minim	um
response	50



## **FORM TA-2**

FORM FOR REPORTING ACTIVITIES OF TRANSFER AGENTS REGISTERED PURSUANT TO SECTION 17A OF THE SECURITIES EXCHANGE ACT OF 1934

ATTENTION: INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACT

National Financial Data Services  PROCESSED  JUN 2 8 2002  THOMSON FINANCIAL  c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?  Yes  No  d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for whi Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)		ATENTION.		EDERAL CRIMINAL and 15 U.S.C. 78ff(s	
a. During the reporting period, has the Registrant engaged a service company to perform any of its transfer agent functions?    All   Some   None     None     None     None     None   None     Name of Transfer Agent(s):   File No. (beginning with 84- or 85-     National Financial Data Services   BH-1078     THOMSON FINANCIAL     C. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to pertansfer agent functions?   No     No   No     All   Some   No   No     No   No   No     All   No   No     No   No   No     All   No   No   No     All   No   No   No   No   No     All   No   No   No   No   No     All   No   No   No   No   No   No     All   No   No   No   No   No   No   No     All   No   No   No   No   No   No   No     All   No   No   No   No   No   No   No				TA-1:	
(Check appropriate box.)  All Some None  b. If the answer to subsection (a) is all or some, provide the name(s) and transfer agent file number(s) of all s company(ies) engaged:  Name of Transfer Agent(s):  National Financial Data Services  PROCESSED  JUN 2 8 2002  THOMSON FINANCIAL  c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to pet transfer agent functions?  Yes  No  d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for whi Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)		SAFECO Se	rvices Corpor	ration	
b. If the answer to subsection (a) is all or some, provide the name(s) and transfer agent file number(s) of all s company(ies) engaged:    Name of Transfer Agent(s):   File No. (beginning with 84- or 85-   National Financial Data Services   BH-1078	. а.		has the Registrant engas	ged a service company to	perform any of its transfer agent function
Name of Transfer Agent(s):  Name of Transfer Agent(s):  National Financial Data Services  PROCESSED  JUN 2 8 2002  THOMSON FINANCIAL  C. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to pertransfer agent functions?  No  If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for white Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)		☐ All	<b>⊠</b> Some	☐ None	
National Financial Data Services  PROCESSED  JUN 2 8 2002  THOMSON FINANCIAL  c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?  Yes  No  d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for whi Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)	b.		(a) is all or some, pro	ovide the name(s) and tra	ansfer agent file number(s) of all servi
During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?  Yes  No  d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for white Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, promplete and attach the Supplement to Form TA-2.)		Name of Transfer Agent(s):			File No. (beginning with 84- or 85- ):
THOMSON FINANCIAL  c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?  Yes  No  d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for whi Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)		National Fin	ancial Data S	ervices	84-1078
THOMSON FINANCIAL  c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?  Yes  No  d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for white Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)					
THOMSON FINANCIAL  c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?  Yes  No  d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for white Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)					PROCESSED
C. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?  Yes  No  If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for white Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)					JUN 2 8 2002
<ul> <li>c. During the reporting period, has the Registrant been engaged as a service company by a named transfer agent to per transfer agent functions?</li> <li>Yes</li> <li>No</li> <li>d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for whi Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)</li> </ul>					
d. If the answer to subsection (c) is yes, provide the name(s) and file number(s) of the named transfer agent(s) for whi Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)	с.		has the Registrant been	engaged as a service com	
Registrant has been engaged as a service company to perform transfer agent functions: (If more room is required, complete and attach the Supplement to Form TA-2.)		☐ Yes	D	₹ No	
Name of Transfer Agent(s): File No. (beginning with 84- or 85-	d.	Registrant has been engaged	as a service company to	perform transfer agent fi	
		Name of Transfer Agent(s):			File No. (beginning with 84- or 85-):



## III. Federal Information Law and Requirements.

SEC's Collection of Information: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number. Under Sections 17, 17A(c) and 23(a) of the Act and the rules and regulations thereunder, the SEC is authorized to solicit from registered transfer agents the information required to be supplied on Form TA-2. The filing of this Form is mandatory for all registered transfer agents. The information will be used for the principal purpose of regulating registered transfer agents but may be used for all routine uses of the SEC or of the ARAs. Information supplied on this Form will be included routinely in the public files of the ARAs and will be available for inspection by any interested person. Any member of the public may direct to the SEC any comments concerning the accuracy of the burden estimate on the application facing page of this Form, and any suggestions for reducing this burden. The Office of Management and Budget has reviewed this collection of information in accordance with the clearance requirements of 44 U.S.C. 3507. The applicable Privacy Act system of records is SEC-2. Form TA-2 is subject to the routine uses set forth at 40 FR 39255 (Aug. 27, 1975) and 41 FR 5318 (Feb. 5, 1976).

3.	a.	Registrant's appropriate reg		gency (ARA):	(Check one bo	x only	<b>7.</b> )			
		Comptroller of the Curre				•				
	,	Federal Deposit Insurance								
		Board of Governors of the			em					
,	,	Securities and Exchange	Commiss	ion		. '	in .		* •	
÷	b.	During the reporting period, information reported therein  Yes, filed amendment(s)  No, failed to file amendr	became i							vhich
		Not applicable								
	c.	If the answer to subsection (	b) is no, p	orovide an exp	lanation:			·		
										<del></del>
			<del></del>							
		If the response	e to any	of questions	s 4-11 below	is no	ne or zer	o, enter "0.		_
4.	Nu	mber of items received for tra	nsfer duri	ng the reporti	ng period:		*****		<i>6,</i> 9.3 ?	<u>L</u>
5.		Total number of individual s	ecurityho	lder accounts,	including acco	unts ii	n the Direc	t Registration	12775	9
		System (DRS), dividend rein	vestment	plans and/or d	lirect purchase	plans	as of Dece	mber 31:	101,10	<u> </u>
	b.	Number of individual securit as of December 31:							ounts 132,75	16
	c.	Number of individual securit	ryholder E	ORS accounts :	as of December	31:				0
	d.	Approximate percentage of December 31:								ıs of
	_	Company	1	Open-End	Limited	,	Municip	ol Dobt	Other	ĺ
		Corporate Corporate Equity Debt Securities Securities		Investment Company Securities	Partnersh Securitie	ip	Secur	I	Securities	
				100						
6.	Nu	mber of securities issues for w	hich Regi	istrant acted in	the following	capac	ities, as of	December 31	:	
			F	Corporate	Open-End	1 1	imited	Municipal	Other	
				Securities	Investment Company	Pa	rtnership ecurities	Debt Securities	Securities	 
			Equity	Debt	Securities					
	a.	Receives items for transfer and maintains the master securityholder files:			19					
	b.	Receives items for transfer but does not maintain the master securityholder files:								
	c.	Does not receive items for transfer but maintains the master securityholder files:			43.					

7.		ope of certain additional types of activities performed:	J/on dinest munchess also	
	a.	Number of issues for which dividend reinvestment plan at services were provided, as of December 31:		62
	b.	Number of issues for which DRS services were provided, a	s of December 31:	<u>0</u>
	c.			
		i. number of issues		
		ii. amount (in dollars)		63,326,8
8.	a.	Number and aggregate market value of securities aged reco	ord differences, existing for mo	re than 30 days, as of
		•	Prior	Current
			Transfer Agent(s)	Transfer Agent
			(If applicable)	
		i. Number of issues		0
		ii. Market value (in dollars)		O
	b.			
		SEC) during the reporting period pursuant to Rule 17Ad-11	(c)(2):	
	c.	During the reporting period, did the Registrant file all quar (including the SEC) required by Rule 17Ad-11(c)(2)?	terly reports regarding buy-ins	with its ARA
		▼ Yes \ \ \ \ No		
				<del></del>
9.	a.			
		as set form in Rule 1/Aq-2?		
		Yes No		
		If the answer to subsection (a) is no, co	mplete subsections (i) throug	h (ii).
		i. Provide the number of months during the reporting per compliance with the turnaround time for routine items		
		ii. Provide the number of written notices Registrant filed	during the reporting period with	h the
		SEC and with its ARA that reported its noncompliance		
		items according to Rule 17Ad-2		
10	<b>V</b> I			, , , , , , , , ,
10.		mber of open-end investment company securities purchases		excluding dividend, inte
	and	distribution postings, and address changes processed during	the reporting period:	362,805
	a.	Total number of transactions processed:		1E 179
	b.	Number of transactions processed on a date other than date	of receipt of order (as ofs):	10,214

11. a. During the reporting period, provide the date of all database searches conducted for lost securityholder accounts listed on the transfer agent's master securityholder files, the number of lost securityholder accounts for which a database search has been conducted, and the number of lost securityholder accounts for which a different address has been obtained as a result of a database search:

Date of Database Search		Number of L Securityhold Submitted fo	er Accounts	Number of Different Addresses Obtained from Database Search		
		Search		$\boldsymbol{A}$	B	
110	7/11	74	13	48	12	
2/13	8/13	177	156	115	119	
3/2/	9/13	81	67	4.0	50	
et [ 1 1	10/11	15	39	ło	31	
5/11	81/13	124	143	96	106	
6/12	12/11	96	31	. 80	26	

b. Number of lost securityholder accounts that have been remitted t reporting period:	o states during the
SIGNATURE: The Registrant submitting this Form, and the person sign information contained in the Form is true, correct, and c	<del>-</del>
Manual signature of Official responsible for Form:	Title:
alun laghis	UP + Controller Telephone number: 425-376-8219
Name of Official responsible for Form: (First name, Middle name, Last name)	Date signed (Month/Day/Year):
David H. Longhurst	3/20/02

File Number	Supplement to Form TA-2	,
or the reporting period nded December 31,	Full Name of Registrant	
		,
e this schedule to provide t gaged as a service company	the name(s) and file number(s) of the named transfer agent(s) to perform transfer agent functions:	) for which the Registrant has been
Name(s):		File No. (beginning with 84- or 85-
	. <u> </u>	
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